### Environmental Fluid Dynamics: Lecture 14

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#### Atmospheric Dynamics: Basic Equations Summation Review Mechanical Energy Equation Thermal Energy Equation





## Summation Review

### Einstein Summation Review

#### Consider:

 $U_m \Rightarrow$  generic velocity vector  $x_m \Rightarrow$  generic component of distance  $e_m \Rightarrow$  generic unit vector

where m = 1, 2, 3. We can write the individual components as:

 $U_1 = u$   $x_1 = x$   $e_1 = \hat{i}$ 
 $U_2 = v$   $x_2 = x$   $e_2 = \hat{j}$ 
 $U_3 = w$   $x_3 = x$   $e_3 = \hat{k}$ 

A variable with:

- no free indices is a scalar.
- one free indice is a vector.
- two free indices is a **tensor**.



There are two primary summation operators that we will use:

• Kronecker Delta

$$\delta_{mn} = \begin{cases} +1, & \text{if } m = n \\ 0 & \text{if } m \neq n \end{cases}$$

• Levi-Civita (Alternating Unit) Tensor

$$\epsilon_{mnq} = \begin{cases} +1, & \text{if } mnq = 123, 231, 312 & \text{even permutation} \\ -1 & \text{if } mnq = 321, 213, 132 & \text{odd permutation} \\ 0 & \text{if } m = n, n = q, q = m & \text{any two indices repeated} \end{cases}$$



## Atmospheric Dynamics: Mechanical Energy Equation

- The mechanical energy equation describes the rate of change of a fluid element's kinetic energy.
- To start, we take the dot product of the velocity vector with our momentum balance equation.

$$\vec{U} \cdot \left( \underbrace{\rho \frac{D \vec{U}}{D t}}_{1} = \underbrace{- \vec{\nabla} p}_{2} - \underbrace{2 \rho \vec{\Omega} \times \vec{U}}_{3} + \underbrace{\rho \vec{g}}_{4} + \underbrace{\mu \vec{\nabla}^{2} \vec{U}}_{5} \right)$$

- Note: We've multiplied the momentum balance equation by ho
- We will examine each term separately and then put together for our final expression.



## Mechanical Energy Equation

#### Term 1

$$\vec{U} \cdot \rho \frac{D\vec{U}}{Dt} = u_i \left( \rho \frac{\partial u_i}{\partial t} + \rho u_j \frac{\partial u_i}{\partial x_j} \right)$$
$$= \rho u_i \frac{\partial u_i}{\partial t} + \rho u_j u_i \frac{\partial u_i}{\partial x_j} \quad \text{use product rule}$$
$$= \rho \frac{\partial \left(\frac{u_i u_i}{2}\right)}{\partial t} + \rho u_j \frac{\partial \left(\frac{u_i u_i}{2}\right)}{\partial x_j}$$
$$\boxed{= \rho \frac{\partial E}{\partial t} + \rho u_j \frac{\partial E}{\partial x_j}}$$

where  $E = u_i^2/2$  is kinetic energy.



$$\vec{U} \cdot \left( - \vec{\nabla}p \right) = -u_i \frac{\partial p}{\partial x_i} \quad \text{use product rule}$$
$$= -\left( \frac{\partial (pu_i)}{\partial x_i} + p \frac{\partial u_i}{\partial x_i} \right)$$
$$\boxed{= -\frac{\partial (pu_i)}{\partial x_i}}$$



## Mechanical Energy Equation

Term 3

$$\vec{U} \cdot \left( -2\rho \vec{\Omega} \times \vec{U} \right)$$

Recall that the Coriolis has the following components:

- *x*: fv
- y: -fu
- z: 0

This corresponds to  $\epsilon_{ij3}fu_j$ . So,

$$\vec{U} \cdot \left(-2\rho \vec{\Omega} \times \vec{U}\right) = \epsilon_{ij3} f u_i u_j$$

However, using the rules of the alternating unit tensor, you can show this is equal to zero. Alternatively, you know  $-2\rho\Omega\times\vec{U}$  is  $\perp$  to  $\vec{U}$ . Thus, their dot product is zero. Why?

Coriolis force does no work!

$$\vec{U} \cdot \vec{g} = u_i g_i$$

But if we assume that gravity only acts in the  $\hat{k}$  direction, then we can make use of the Kronecker delta:

$$\vec{U} \cdot \rho \, \vec{g} = \rho u_i g_i \delta_{i3}$$



$$\vec{U} \cdot \nu \vec{\nabla}^2 \vec{U} = \mu u_i \frac{\partial^2 u_i}{\partial x_j^2}$$
$$= \mu u_i \frac{\partial}{\partial x_j} \frac{\partial u_i}{\partial x_j}$$
$$= u_i \frac{\partial \tau_{ij}}{\partial x_j}$$



#### All Together

$$\underbrace{\rho\frac{\partial E}{\partial t}}_{1} + \underbrace{\rho u_{j}\frac{\partial E}{\partial x_{j}}}_{2} = \underbrace{-\frac{\partial(pu_{i})}{\partial x_{i}}}_{3} + \underbrace{\rho u_{i}g_{i}\delta_{i3}}_{4} + \underbrace{u_{i}\frac{\partial\tau_{ij}}{\partial x_{j}}}_{5}$$

#### Terms

- 1 Storage of kinetic energy
- 2 Advection of kinetic energy by the bulk flow
- **3** Work done against gravity
- **4** Work done against viscous forces



• Note: we can expand the viscous dissipation term as:

$$u_i \frac{\partial \tau_{ij}}{\partial x_j} = \frac{\partial u_i \tau_{ij}}{\partial x_j} - \tau_{ij} \frac{\partial u_i}{\partial x_j}$$
$$= \frac{\partial u_i \tau_{ij}}{\partial x_j} - \tau_{ij} S_{ij}$$

where  $S_{ij}$  is the symmetric part of the velocity gradient.

- The first term is the total rate of work, or molecular diffusion.
- The second term is the deformation work, or viscous dissipation.



# Atmospheric Dynamics: Thermal Energy Equation

• We can write the 1<sup>st</sup> Law of Thermodynamics for an open unsteady system in words as follows, where total energy = internal (I) + kinetic  $(E = V^2/2)$ :



• This is seen visually as:



Let's look separately at each term in the previous description.

• Rate of accumulation of internal energy per unit volume and kinetic energy within the element (these are in units of W):

$$\Delta x \Delta y \Delta z \frac{\partial}{\partial t} (\rho I + \rho E)$$



• Net rate of advection of internal and kinetic Energy into the volume element:

$$\begin{split} & \Delta y \Delta z \left\{ u(\rho I + \rho E)_{x+\Delta x} - u(\rho I + \rho E)_x \right\} \\ & + \Delta x \Delta z \left\{ v(\rho I + \rho E)_{y+\Delta y} - v(\rho I + \rho E)_y \right\} \\ & + \Delta x \Delta y \left\{ w(\rho I + \rho E)_{z+\Delta z} - w(\rho I + \rho E)_z \right\} \end{split}$$



• Net rate of energy input by conduction into the volume element (molecular):

$$\begin{aligned} \Delta y \Delta z (q_x|_x - q_x|_{x+\Delta x}) + \Delta x \Delta z (q_y|_y - q_y|_{y+\Delta y}) \\ + \Delta x \Delta y (q_z|_z - q_z|_{z+\Delta z}) \end{aligned}$$



• Net rate of energy input by all wavelengths of radiation into the volume element:

$$\Delta y \Delta z (R_{nx}|_x - R_{nx}|_{x+\Delta x}) + \Delta x \Delta z (R_{ny}|_y - R_{ny}|_{y+\Delta y}) + \Delta x \Delta y (R_{nz}|_z - R_{nz}|_{z+\Delta z})$$



• Net rate of energy input by phase change into the volume element:

 $\Delta x \Delta y \Delta z (L_v \epsilon)$ 

where  $L_v (\sim 2.45 \times 10^6 \ \mathrm{J \ kg^{-1}})$  is the latent heat of vaporization/condensation and  $\epsilon (\mathrm{kg \ m^{-3} \ s^{-1}})$  is the evaporation/condensation rate per unit volume.

• Note: this is a body sink/source term.



- Net rate of work done by the fluid element against the surroundings.
- Recall that work rate done by a force is the magnitude of the force multiplied by the velocity in the direction of the force:

$$\dot{W}=\vec{F}\cdot\vec{U}$$

So we will write the work rates as forces multiplied by velocities acting on our fluid element.

• The net rate of work will broken into work against body forces and work against surface forces.



• Work against body forces: rate of doing work against the gravitational force

$$\Delta x \Delta y \Delta z (\rho u g_x + \rho v g_y + \rho w g_z)$$

• Work against surface forces: rate of doing work against the pressure at the six faces of a volume element

$$\Delta y \Delta z \{ (pu)_x |_{x+\Delta x} - (pu)_x |_x \} + \Delta x \Delta z \{ (pv)_y |_{y+\Delta y} - (pv)_y |_y \} + \Delta x \Delta y \{ (pw)_z |_{z+\Delta z} - (pw)_z |_z \}$$

Note that here we take the work rate to be  $(p \cdot \vec{n} dA) \cdot \vec{U}$ where  $\vec{n}$  is the outwardly pointing normal vector from the fluid element.



#### Term 6

• Work against surface forces: rate of doing work against the viscous force





• Work against surface forces: rate of doing work against the viscous force

$$\Delta y \Delta z \{ (\tau_{xx}u + \tau_{xy}v + \tau_{xz}w)_x - (\tau_{xx}u + \tau_{xy}v + \tau_{xz}w)_{x+\Delta x} \}$$
  
+  $\Delta x \Delta z \{ (\tau_{yx}u + \tau_{yy}v + \tau_{yz}w)_y - (\tau_{yx}u + \tau_{yy}v + \tau_{yz}w)_{y+\Delta y} \}$   
+  $\Delta x \Delta y \{ (\tau_{zx}u + \tau_{zy}v + \tau_{zz}w)_z - (\tau_{zx}u + \tau_{zy}v + \tau_{zz}w)_{z+\Delta z} \}$ 



#### All Together

Now we combine all terms, divide by ΔxδyΔz, and take the limit as Δx → 0, Δy → 0, and Δz → 0 to obtain the complete energy equation:

$$\begin{split} \frac{\partial(\rho I + \rho E)}{\partial t} &= -\left(u\frac{\partial(\rho I + \rho E)}{\partial x} + v\frac{\partial(\rho I + \rho E)}{\partial y} + w\frac{\partial(\rho I + \rho E)}{\partial z}\right) \\ &- \left(\frac{\partial q_x}{\partial x} + \frac{\partial q_y}{\partial y} + \frac{\partial q_x}{\partial z}\right) - \left(\frac{\partial pu}{\partial x} + \frac{\partial pv}{\partial y} + \frac{\partial pw}{\partial z}\right) \\ &- \left(\frac{\partial R_{nx}}{\partial x} + \frac{\partial R_{ny}}{\partial y} + \frac{\partial R_{nz}}{\partial z}\right) - \left(\frac{\partial ug_x}{\partial x} + \frac{\partial vg_y}{\partial y} + \frac{\partial wg_z}{\partial z}\right) \\ &- L_v \epsilon \\ &- \left\{\frac{\partial(\tau_{xx}u + \tau_{yx}v + \tau_{zx}w)}{\partial x} + \frac{\partial(\tau_{xy}u + \tau_{yy}v + \tau_{zy}w)}{\partial y} + \frac{\partial(\tau_{xz}u + \tau_{yz}v + \tau_{zz}w)}{\partial z}\right\} \end{split}$$

#### All Together

• As with the derivation of the momentum equation, we can utilize Newtonian expressions to relate the velocity gradients and stresses, namely:

$$\begin{aligned} \tau_{xx} &= -2\mu \frac{\partial u}{\partial x} + \frac{2}{3}\mu(\vec{\nabla} \cdot \vec{U}) \qquad \tau_{xy} = \tau_{yx} = -\mu \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x}\right) \\ \tau_{yy} &= -2\mu \frac{\partial v}{\partial y} + \frac{2}{3}\mu(\vec{\nabla} \cdot \vec{U}) \qquad \tau_{xz} = \tau_{zx} = -\mu \left(\frac{\partial u}{\partial z} + \frac{\partial w}{\partial x}\right) \\ \tau_{zz} &= -2\mu \frac{\partial w}{\partial z} + \frac{2}{3}\mu(\vec{\nabla} \cdot \vec{U}) \qquad \tau_{zy} = \tau_{yz} = -\mu \left(\frac{\partial w}{\partial y} + \frac{\partial v}{\partial z}\right) \end{aligned}$$

Or more compactly:

$$\tau_{ij} = -2\mu S_{ij} + \frac{2}{3}\mu(\vec{\nabla}\cdot\vec{U})\delta_{ij}$$



#### All Together

• We now subtract the *E* equation (slide 13) from the complete energy equation to yield the **thermal energy equation**:

$$\underbrace{\rho \frac{DI}{Dt}}_{1} = \underbrace{-\overrightarrow{\nabla} \cdot \overrightarrow{q}}_{2} - \underbrace{\overrightarrow{\nabla} \cdot (p\overrightarrow{U})}_{3} \underbrace{-\overrightarrow{\nabla} \cdot \overrightarrow{R_{n}}}_{4} + \underbrace{L_{v}\epsilon}_{5} + \underbrace{\mu \Phi_{\nu}}_{6}$$

#### Terms (per unit volume)

- 1 Rate of gain of internal energy
- 2 Rate of internal energy input by conduction
- 8 Reversible rate of internal energy increase by compression
- 4 Rate of internal energy input by net radiation
- **6** Rate of internal energy input by phase change
- **6** Irreversible rate of internal energy increase by viscous dissipation



• The viscous term written out in full is:

$$\Phi_{\nu} = -2\mu S_{ij}S_{ij} + \frac{2}{3}\mu(\vec{\nabla}\cdot\vec{U})^2$$

- The thermal energy equation is written in terms of internal energy.
- However, we want to express the equation in terms of temperature and heat capacity.
- Let's work to relate I to T,  $\theta$  and  $c_p$ ,  $c_v$ .



- Recall from thermodynamics that  $I = I(\alpha, T)$ , where  $\alpha$  is the specific volume and T the absolute temperature.
- Thus,

$$dI = \left(\frac{\partial I}{\partial \alpha}\right)_T d\alpha + \left(\frac{\partial I}{\partial T}\right)_\alpha dT$$

• Multiplying by the density and considering the substantial derivatives:

$$\rho \frac{DI}{Dt} = \left(\frac{\partial I}{\partial \alpha}\right)_T \rho \frac{D\alpha}{Dt} + \rho c_v \frac{DT}{Dt}$$

where  $c_v$  is the specific heat of the fluid at constant volume.



• Writing the specific volume as the inverse of the density and using the product rule of calculus:

$$\rho \frac{D\alpha}{Dt} = \rho \frac{D}{Dt} \left(\frac{1}{\rho}\right) = -\frac{1}{\rho} \frac{D\rho}{Dt} = -\frac{1}{\rho} \vec{\nabla} \cdot \vec{U}$$

• Then for incompressible flow where  $\vec{\nabla} \cdot \vec{U} = 0$  we have:

$$\rho c_v \frac{DT}{Dt} = -\vec{\nabla} \cdot \vec{q} - \vec{\nabla} \cdot \vec{R_n} + L_v \epsilon + \mu \Phi'_{\nu}$$

where the viscous dissipation term simplifies to:

$$\Phi_{\nu} = -2\mu S_{ij} S_{ij}$$

• Using Fourier's Law of Heat Conduction allows us to write the second term as a function of temperature:

$$\vec{q} = -\kappa \vec{\nabla} T$$

where  $\kappa$  is the thermal conductivity of the fluid. Thus,

$$-\vec{\nabla}\cdot\vec{q}=\kappa\vec{\nabla}\cdot\vec{\nabla}T=\kappa\vec{\nabla}^2T$$

• Substitution yields the thermal energy equation in terms of T:

$$\rho c_v \frac{DT}{Dt} = \kappa \vec{\nabla}^2 T - \vec{\nabla} \cdot \vec{R_n} + L_v \epsilon + \mu \Phi'_{\nu}$$

This equation yields temperature changes from heat conduction, radiation divergence, phase change, and viscous heating



• For a constant pressure fluid we can make the following substitution:

$$dI = -pd\alpha + c_p dT$$

which for an incompressible fluid leads to

$$\rho c_p \frac{DT}{Dt} = \kappa \vec{\nabla}^2 T - \vec{\nabla} \cdot \vec{R_n} + L_v \epsilon + \mu \Phi'_{\nu}$$

which is essentially stating that we can switch between  $c_v$  and  $c_p$ , which is justified when the pressure terms are neglected in a gas flow energy equation.



• The expression is approximately an enthalpy change:

$$\frac{DT}{Dt} = \frac{\kappa}{\rho c_p} \vec{\nabla}^2 T - \frac{1}{\rho c_p} \vec{\nabla} \cdot \vec{R_n} + \frac{L_v \epsilon}{\rho c_p} + \frac{\mu \Phi'_\nu}{\rho c_p}$$

- If viscous heating is small and we define thermal diffusivity as  $K=\kappa/c_pT \text{:}$ 

$$\frac{DT}{Dt} = K \vec{\nabla}^2 T - \frac{1}{\rho c_p} \vec{\nabla} \cdot \vec{R_n} + \frac{L_v \epsilon}{\rho c_p}$$

or for potential temperature:

$$\frac{D\theta}{Dt} = K \vec{\nabla}^2 \theta - \frac{1}{\rho c_p} \vec{\nabla} \cdot \vec{R_n} + \frac{L_v \epsilon}{\rho c_p}$$



We have now derived the following basic equations of atmospheric dynamics, assumptions, approximations, and specific cases of their application:

- Conservation of momentum
- Assumptions and approximations to the momentum equation
- Ekman layer
- Taylor-Proudman Theorem
- Thermal wind
- Mechanical energy equation
- Thermal energy equation

